



JFW

PATENT APPLICATION

**CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)**

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is (XXX) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 or ( ) being transmitted by facsimile to the U.S. Patent and Trademark Office. (Fax No. 703 872 9306) ( 4 pages). This facsimile is being sent from (908) 429-3650.

Maria T. Sanchez  
(Print Name)

Date: May 8, 2006

Maria T. Sanchez  
(Signature)

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Docket No. 2003JP317

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Tomoko AOKI et al.

Art Unit: To be Assigned

Serial No. 10/565,429, filed January 18, 2006

Examiner: To be Assigned

For: **COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL  
PRODUCED BY USING THE SAME**

**INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING  
OR BEFORE MAILING OF THE FIRST OFFICE ACTION  
(37 CFR 1.97 (b))**

May 8, 2006

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Serial No.: 10/565,429  
Filed January 18, 2006

Dear Sir:

**1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

**2. PRELIMINARY STATEMENTS**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Pursuant to the notice in the Official Gazette notice of 5 August 2003, 1276 O.G. 55, copies of US Patents and/or US Patent Publications are not being enclosed as the present application has a filing date after June 30, 2003.

**3. COMPLETED FORM PTO-1449 IS ATTACHED.**

**4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED**

[ ] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.

**5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT**

EP 0 749 155

English Language Abstract of JP 1-138107

English Language Abstract of JP 8-51271

English Language Abstract of JP 9-107171

English Language Abstract of JP 2002-75982

Machine Language English Translation from JPO of JP 8-51271  
Machine Language English Translation from JPO of JP 9-107171  
Machine Language English Translation from JPO of JP 2002-75982

Legible copies of all items listed accompany this information statement except as noted herein.

[ ] Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

## 6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

Submitted herewith is an English language abstract or other document of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

English Language Abstract of JP 1-138107  
English Language Abstract of JP 8-51271  
English Language Abstract of JP 9-107171  
English Language Abstract of JP 2002-75982

Machine Language English Translation from JPO of JP 9-107171  
Machine Language English Translation from JPO of JP 2002-75982  
Machine Language English Translation from JPO of JP 8-51271

No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

EP 0 749 155 - equivalent to JP 9-107171  
US 4,975,512 - equivalent to JP 1-138107  
US 5,436,083 - equivalent to JP 8-51271  
US 2004/0028828 - equivalent to JP 2002-75982

Pursuant to the Official Gazette notice of 5 August 2003, 1276 O.G. 55, since this application entered the National Stage under 35 USC 371 after June 30, 2003, applicant is not enclosing copies of the above mentioned U.S. patents and/or U.S. patent publications.

Serial No.: 10/565,429  
Filed January 18, 2006

Copies of JP 1-138107, JP 9-107171, JP 2002-75982, and JP 8-51271 are not enclosed as they were cited in the International Search Report submitted with applicants' National Stage filing.

8. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION  
DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:

  
Alan P. Kass  
Attorney for Applicant(s)  
Alan P. Kass  
(Reg. No. 32142)  
70 Meister Avenue  
Somerville, New Jersey 08876  
Telephone: (908) 595-3890  
Telefax: (908) 429-3650

Customer No. 26,289

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Tomoko AOKI et al.

Docket No.

2003JP317

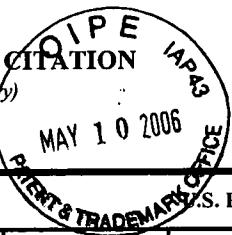
Serial No.  
10/565,429Filing Date  
January 28, 2006Examiner  
To Be AssignedGroup Art Unit  
To Be AssignedInvention: COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL PRODUCED  
BY USING THE SAMEI hereby certify that this Information Disclosure Citation - 2 Pages  
(Identify type of correspondence)is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on May 8, 2006  
(Date)**MARIA T. SANCHEZ**

(Typed or Printed Name of Person Mailing Correspondence)

The signature is handwritten in black ink, appearing to read "Maria T. Sanchez".

(Signature of Person Mailing Correspondence)

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<p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b>  <i>(Use several sheets if necessary)</i></p> <p style="text-align: right; font-size: small;">U.S. PATENT DOCUMENTS</p>				Docket Number (Optional) 2003JP317	Application Number 10/565,429		
				Applicant(s) Tomoko AOKI et al.			
				Filing Date January 28, 2006	Group Art Unit To Be Assigned		
 <b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,975,512	12/4/1990	Funayama et al.			
		5,436,083	7/25/1995	Haluska et al.			
		2004/0028828	2/12/2004	Oaki et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	EP 0 749 155	12/18/196	EUROPE				
	JP 1-138107	5/31/1989	JAPAN				
	JP 8-51271	2/20/1996	JAPAN			✓	
	JP 9-107171	4/22/1997	JAPAN			✓	
	JP 2002-75982	3/15/2002	JAPAN			✓	
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		English Language Abstract of JP 1-138107.					
		English Language Abstract of JP 8-51271.					
EXAMINER <i>/Margaret Moore/ (06/10/2009)</i>				DATE CONSIDERED			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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